

# Structural and mechanistic studies of $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> nanoparticle as troxacitabine drug nanocarrier

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## Abstract

Using density functional theory, noncovalent interactions and three mechanisms of covalent functionalization of troxacitabine anticancer drug onto  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> nanoparticles have been investigated. Quantum molecular descriptors of noncovalent configurations were studied. It was specified that binding of troxacitabine onto  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> nanoparticles is thermodynamically suitable. Hardness and the gap of energy between LUMO and HOMO of troxacitabine are higher than the noncovalent configurations, showing the reactivity of troxacitabine increases in the presence of  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> nanoparticles. Troxacitabine can bond to  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> nanoparticles through NH<sub>2</sub> (*k*<sub>1</sub> mechanism), OH (*k*<sub>2</sub> mechanism) and CO (*k*<sub>3</sub> mechanism) groups. The activation energies, the activation enthalpies and the activation Gibbs free energies of these reactions were calculated. It was specified that the *k*<sub>1</sub> and *k*<sub>2</sub> mechanisms are under thermodynamic control and the *k*<sub>3</sub> mechanisms is under kinetic control. These results could be generalized to other similar drugs.

**Keywords:**  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> nanoparticles, troxacitabine, density functional theory, noncovalent and covalent functionalization, mechanism

## INTRODUCTION

One of the nanoscale materials being extensively utilized are magnetic nanoparticles (MNPs) [1-4]. MNPs are made of elements such as iron, nickel, cobalt, and their oxides, and many of their applications are related to iron oxide nanoparticle. MNPs show unique magnetic, electronic, and chemical properties, causing them to be used for biological and pharmaceutical researches [5-10]. The large surface to volume ratio provides the possibility of functionalization of different molecules, including the therapeutic agents, to them [11-14].

Although many efforts have been made to overcome cancer through chemotherapy, but unfortunately, the old strategies and approaches produce many side effects such as vomiting, hair loss, cardiotoxicity and breathing troubles in the patients. The higher the dose of anti-cancer drugs prescribed and used, the higher the increase of toxicity in the tissues and immune system of the body [15, 16].

The magnetic properties of MNPs cause them to have numerous applications in connection with the drug delivery and diagnostics and therapeutics. The drug delivery systems, using MNPs as carrier, have been based on the fact that they could be guided to a specific location such as a cancerous tumor by using external magnetic field [17, 18]. After arrival of MNPs at the target site, the drug is released through the enzymatic activity or through changes in pH, temperature, and osmolality [19, 20].

Iron oxide could exist along with different chemical compositions, such as magnetite (Fe<sub>3</sub>O<sub>4</sub>) and maghemite ( $\gamma$ -Fe<sub>2</sub>O<sub>3</sub>). Magnetite and maghemite have the utmost usage in biomedical applications. Covalent and noncovalent (hydrogen bonds and van der Waals interactions) functionalizations play a principle role in the drug delivery systems. The possibility of targeted drug delivery causes

reduction of the amount of drugs consumed and consequently the reduction of their side effects [21-23].

Troxacitabine or 4-amino-1-[(2S)-2-(hydroxymethyl)-1,3-dioxolan-4-yl]pyrimidin-2-one (TC) is a synthetic L-nucleoside analogue which has antitumor and anticancer activities and is highly effective in the treatment of advanced leukemia [24, 25].

For the development of drug delivery systems by using MNPs, it is necessary to present molecular models for understanding the mechanism of functionalization of the drugs to these nanoparticles in solvents (especially water). Quantum calculations could be of great assistance to the design and analysis of drug delivery systems. The granting of Nobel Prize for chemistry in 2016 for the design and manufacturing of molecular machines, TCable of being used in drug deliverance as well, confirms our statement [26-28].

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We have used quantum calculations for analysis of more stable structures and the mechanism of functionalization of troxacitabine drug to  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> nanoparticles. Such calculations could inspire researchers to manufacture new drug delivery systems. In spite of different theoretical studies on MNPs, so far, few studies have been done on the mechanism of functionalization in solution.

## COMPUTATIONAL METHOD

All calculations have been done with the B3LYP [29-31] hybrid density functional level using the GAUSSIAN 09 package [32]. The 6-31G(d,p) basis set was used except for Fe where the LANL2DZ basis set was employed with effective core potential (ECP) functions.

The solvent plays a key role in chemical systems explicitly [33-40] or

implicitly. Polarized continuum model (PCM) was used for the consideration of implicit effects of the solvent.[41,42]. For all species, all degrees of freedom were optimized. The transition state obtained was confirmed to have only one imaginary frequency of the Hessian. The zero-point corrections were also considered to obtain activation energy.

## RESULTS AND DISCUSSION

### Noncovalent functionalization

Troxacitabine (TC) is a nonplanar molecule with NH<sub>2</sub>, oH, CO, and F groups as presented in Fig. 1.  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> nanoparticle was modeled using Fe<sub>6</sub>(OH)<sub>18</sub>(H<sub>2</sub>O)<sub>6</sub> ring clusters of six-edge sharing octahedra joining via 12 OH groups [43]. The optimized geometries of  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> nanoparticle (MNP) and troxacitabine (TC) in solution phase are presented in Fig. 1.

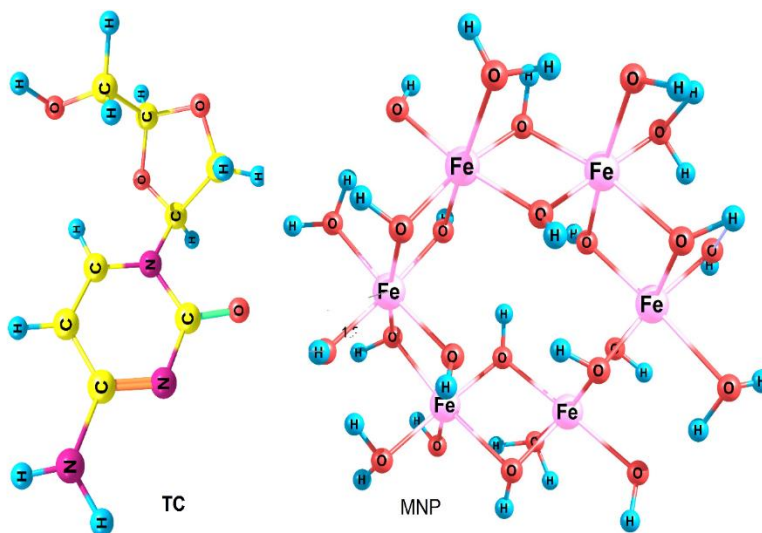


Fig. 1. Optimized structures of MNP and TC.

The interaction between TC and MNP through NH<sub>2</sub> (MNP/TC1), OH (MNP/TC2), and CO (MNP/TC3) groups was considered in gas and solution phases. These three configurations have been shown in Figures 2-4 (See supporting information for Cartesian coordinates of the calculated structures).

The solvation energies of TC, MNP, and MNP/TC1-3 have been shown in Table 1. The binding energies ( $\Delta E$ ) of TC to MNP in gas and solution phases were calculated using the following equation and presented in Table 1:

$$\Delta E = E_{MNP/TC1-3} - (E_{MNP} + E_{TC}) \quad (1)$$

The calculated solvation energies show that TC solubility increases in the presence of MNP. The calculated binding energies of MNP/TC2 and MNP/TC3 are negative in gas and solution phases. MNP/TC3 is more stable than MNP/CAP2-3 in both phases.

**Table 1.** Solvation and binding energies of different configurations (kJ mol<sup>-1</sup>).

Species	Solvation energy	Binding energy	Binding energy
TC	-58.84	Solution phase	Gas phase

MNP	-126.25		
MNP/TC1	-168.78	13.89	-2.41
MNP/TC2	-158.58	-12.94	-39.45
MNP/TC3	-176.56	-37.97	-46.50

Quantum molecular descriptors such as hardness and electrophilicity index could be used to describe chemical reactivity and stability. The global hardness ( $\eta$ ) indicates the resistance of one molecule against the change in its electronic structure (Equation 2). Decrease in  $\eta$  causes a decrease in the reactivity and an increase in stability.

$$\eta = (I - A) / 2 \quad (2)$$

where  $I = -E_{HOMO}$  and  $A = -E_{LUMO}$  are the ionization potential and the electron affinity of the molecule, respectively. Parr defined the electrophilicity index ( $\omega$ ) as follows [44]:

$$\omega = (I + A)^2 / 4\eta \quad (3)$$

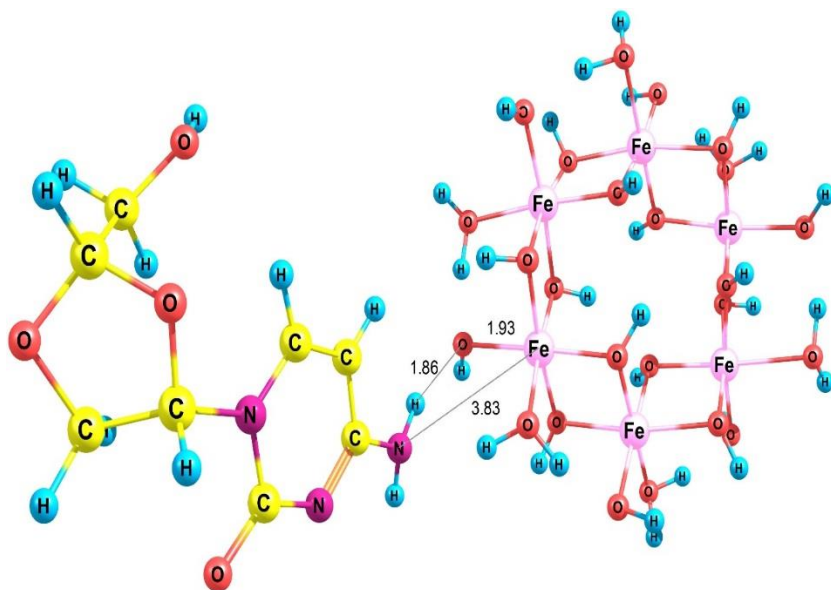
**Table 2.** Quantum molecular descriptors (eV) and binding energies (kJ mol<sup>-1</sup>) of TC, MNP, and MNP/TC1-3.

Species	E <sub>HOMO</sub>	E <sub>LUMO</sub>	E <sub>g</sub>	$\eta$	$\omega$
Solution phase (water)					
TC	-6.29	-0.80	5.49	2.75	2.28
MNP	-5.58	-4.48	1.10	0.55	22.95
MNP/TC1	-5.62	-4.51	1.10	0.55	23.28
MNP/TC2	-5.63	-4.53	1.10	0.55	23.37
MNP/TC3	-5.67	-4.66	1.00	0.50	26.54
Gas phase					
TC	-6.12	-0.75	5.37	2.69	2.20

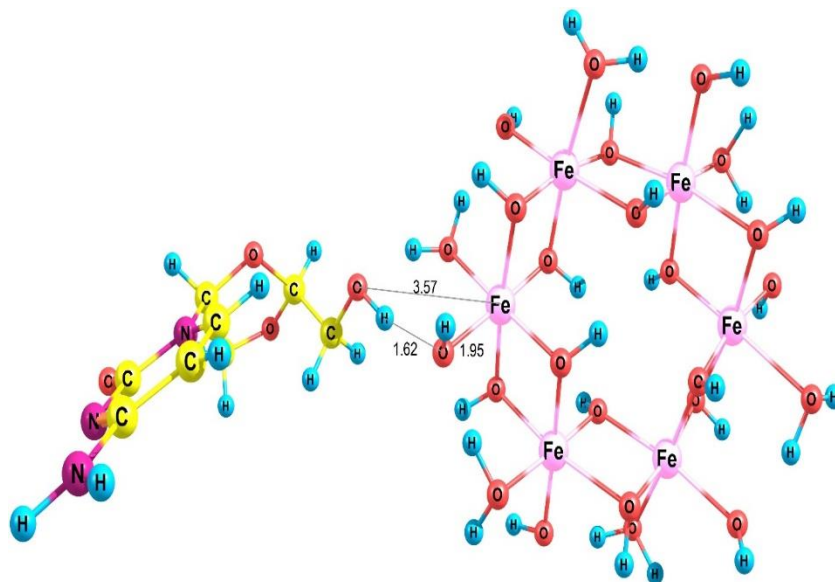
MNP	-5.41	-4.36	1.05	0.53	22.68
MNP/TC1	-5.67	-4.61	1.06	0.53	24.84
MNP/TC2	-5.56	-4.56	0.99	0.50	25.79
MNP/TC3	-5.24	-4.13	1.11	0.55	19.84

Table 2 presents the quantum molecular descriptors for TC, MNP, and MNP/TC1-4 in both phases. In this table, E<sub>g</sub> (gap of energy between LUMO and HOMO) was also calculated. E<sub>g</sub> notably determines a more stable configuration.

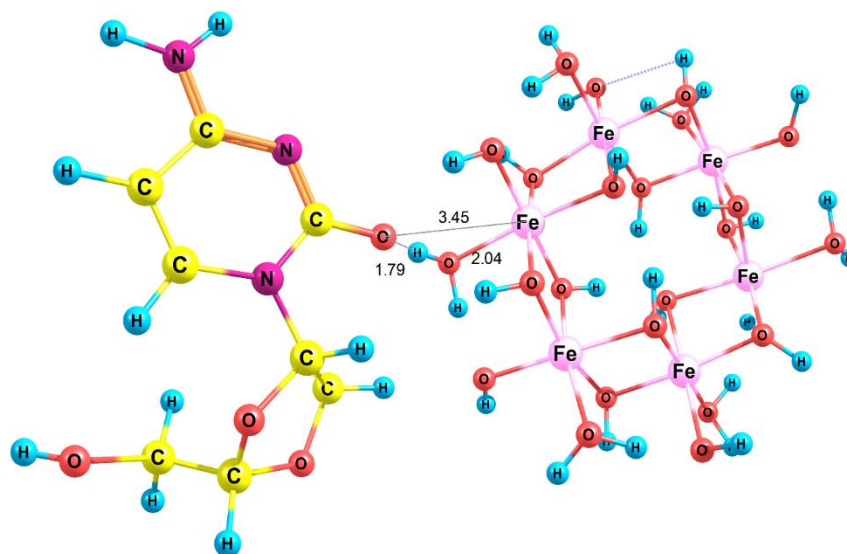
According to the data in Table 2,  $\eta$  and E<sub>g</sub> related to the TC drug are higher than those of MNP/TC1-3, showing the reactivity of TC increases in the presence of MNP.  $\omega$  of TC increases in the presence of MNP, showing that TC acts as electron acceptor.



**Fig. 2.** Optimized structure of MNP/TC1.



**Fig. 3.** Optimized structure of MNP/TC2.

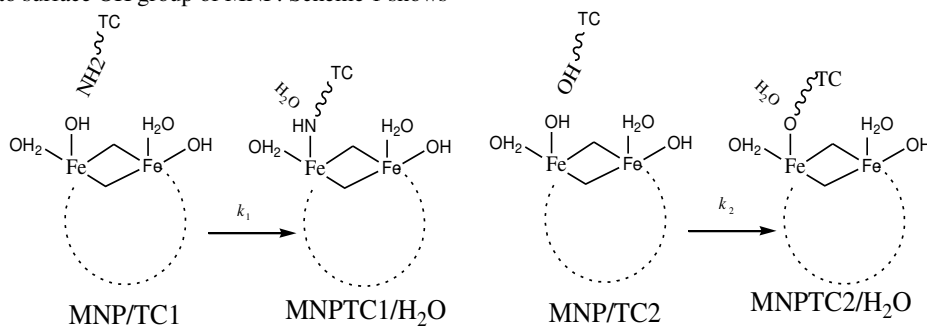


**Fig. 4.** Optimized structure of MNP/TC3.

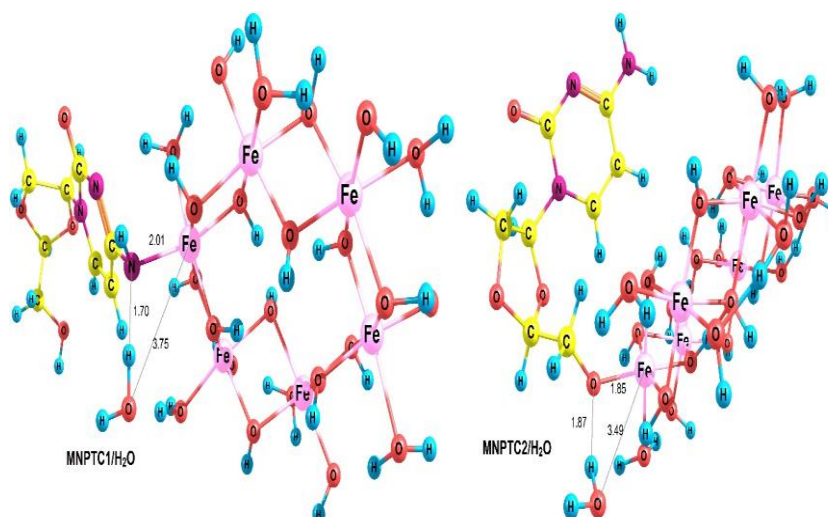
### Covalent functionalization

First, we considered MNP/TC1-2 configurations for the investigation of covalent functionalization in solution phase. In these cases, hydroxyl and amino groups in MNP/TC1-2 attack the Fe atom to transfer its proton to surface OH group of MNP. Scheme 1 shows

the mechanism for the formation of covalent bond between TC and MNP. In these mechanisms, reactants MNP/TC1-2 are converted into the products MNPTC1-2/H<sub>2</sub>O by losing H<sub>2</sub>O.



**Scheme 1.**  $k_1$  and  $k_2$  mechanisms.



**Fig. 5.** Optimized structures of MNPTC1/H<sub>2</sub>O and MNPTC2/H<sub>2</sub>O.

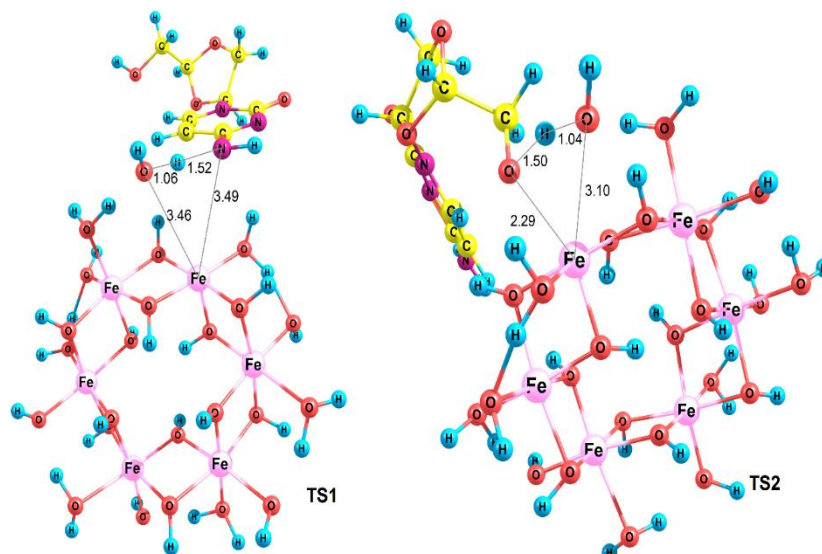


Fig. 6. Optimized structures of TS<sub>k1</sub> and TS<sub>k2</sub>.

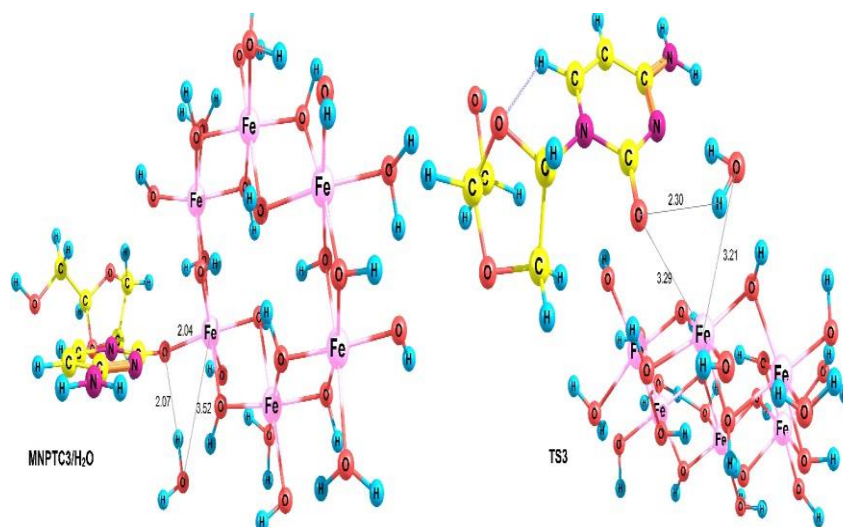


Fig. 7. Optimized structures of MNPTC3/H<sub>2</sub>O and TS<sub>k3</sub>.

According to the Scheme 1, in these mechanisms surface OH group from Fe<sub>6</sub>(OH)<sub>18</sub>(H<sub>2</sub>O)<sub>6</sub> is substituted by NH (O) from drug TC to give product MNPTC1(2)/H<sub>2</sub>O. The optimized structures of products MNPTC1-2/H<sub>2</sub>O have been shown in Fig. 5. Using reactant MNP/TC1 and product MNPTC1/H<sub>2</sub>O, the transition state of k<sub>1</sub> step was optimized which we call TS<sub>k1</sub> (Fig. 6). The calculated bond lengths for all mechanisms have been shown in Figs. 2, 5 and 6. Relative energies for optimized structures in all pathways have been calculated in Table 3 by considering electronic plus zero-point energy (*E*), enthalpy (*H*) and Gibbs free energy (*G*) of reactants equal to zero. The activation energy (*E<sub>a</sub>*), activation enthalpy ( $\Delta H^\ddagger$ ) and activation Gibbs free energy ( $\Delta G^\ddagger$ ) for *k<sub>1</sub>* mechanism are 117.83 kJ mol<sup>-1</sup>, 118.03 kJ mol<sup>-1</sup>, and 128.52 kJ mol<sup>-1</sup>, respectively (Table 3). Similar to *k<sub>1</sub>* step, using MNP/TC2 and MNPTC2/H<sub>2</sub>O, the transition state of *k<sub>2</sub>* step (Fig. 6) was obtained which we call TS<sub>k2</sub>. *E<sub>a</sub>*,  $\Delta H^\ddagger$  and  $\Delta G^\ddagger$  for *k<sub>2</sub>* step are 131.80 kJ mol<sup>-1</sup>, 133.34 kJ mol<sup>-1</sup>, and 142.30 kJ mol<sup>-1</sup>, respectively (Table 3).

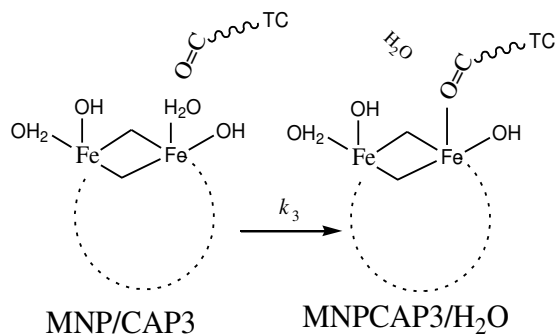
The other reaction for the covalent functionalization of TC onto MNT is shown in Scheme 2. In this mechanism, H<sub>2</sub>O from Fe<sub>6</sub>(OH)<sub>18</sub>(H<sub>2</sub>O)<sub>6</sub> is substituted by C=O group from TC to give product MNPTC3/H<sub>2</sub>O. The optimized structures of product MNPTC3/H<sub>2</sub>O has been shown in Fig. 7.

Table 3. Relative energies (kJ mol<sup>-1</sup>) for different species in k<sub>1</sub>-k<sub>3</sub> mechanisms.

species	$\Delta E$	$\Delta H$	$\Delta G$
<i>k<sub>1</sub></i> mechanism			
MNP/TC1	0.00	0.00	0.00
TS <sub>k1</sub>	117.83	118.03	128.52
MNPTC1/H <sub>2</sub> O	18.94	16.95	26.51
<i>k<sub>2</sub></i> mechanism			
MNP/TC2	0.00	0.00	0.00
TS <sub>k2</sub>	131.80	133.34	142.30
MNPTC2/H <sub>2</sub> O	-6.69	-9.58	-4.70
<i>k<sub>3</sub></i> mechanism			

MNP/TC3	0.00	0.00	0.00
TS <sub>k3</sub>	84.51	86.06	92.88
MNPTC3/H <sub>2</sub> O	38.96	39.09	41.88

Using MNP/TC3 and MNPTC3/H<sub>2</sub>O, the transition state of  $k_3$  step (Fig. 7) was obtained which we call TS<sub>k3</sub>.  $E_a$ ,  $\Delta H^\ddagger$  and  $\Delta G^\ddagger$  for  $k_3$  step are 84.51 kJ mol<sup>-1</sup>, 86.06 kJ mol<sup>-1</sup> and 92.88 kJ mol<sup>-1</sup> (Table 3).



**Scheme 2.**  $k_3$  Mechanism.

The activation energy for  $k_3$  mechanism is lower than  $k_1$  and  $k_2$  mechanisms by 33.31 kJ mol<sup>-1</sup> and 47.29 kJ mol<sup>-1</sup>, respectively. On the other hand, using absolute energies, product MNPTC2/H<sub>2</sub>O ( $k_2$  mechanisms) is more stable than products MNPTC1/H<sub>2</sub>O ( $k_1$  mechanisms) and MNPTC3/H<sub>2</sub>O ( $k_3$  mechanism) by 52.46 kJ mol<sup>-1</sup> and 20.62 kJ mol<sup>-1</sup>, respectively, so products MNPTC2/H<sub>2</sub>O (high activation energies) and MNPTC3/H<sub>2</sub>O (low activation energies) are thermodynamic and kinetic products, respectively.

In other words, thermodynamic and kinetic controls act opposite each other. The high energy barriers of  $k_1$  and  $k_2$  mechanisms are related to the proton transfer from OH and NH<sub>2</sub> of drug to OH of the cluster. Different techniques such as using ultrasonic irradiation, helps to increase the contribution of  $k_1$  and  $k_2$  mechanisms and is in favor of thermodynamic control.

## CONCLUSION

Three configurations of noncovalent interaction of drug troxacitabine (TC) onto  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> nanoparticles (MNP) were investigated in gas and solution phases. MNPs were modeled using Fe<sub>6</sub>(OH)<sub>18</sub>(H<sub>2</sub>O)<sub>6</sub> ring clusters. The binding energies for two configurations in gas and solution phases are negative, so these interactions are energetically favorable. The global hardness and HOMO-LUMO energy gap of TC are higher than MNP/TC1-3, showing the reactivity of the TC increases in the presence of  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> nanoparticles.

Three mechanisms of covalent functionalization of drug TC onto MNP through NH<sub>2</sub> ( $k_1$  mechanism), OH ( $k_2$  mechanism) and C=O ( $k_3$  mechanism) groups have been studied in detail. The activation parameters related to  $k_1$  and  $k_2$  mechanisms are higher than  $k_3$  mechanism. The product of  $k_2$  mechanism is more stable, but the product of  $k_3$  mechanism is formed faster and therefore MNPTC3/H<sub>2</sub>O is kinetic product.

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